



#### **Definitions**

Safeguarding is defined in an education context as:

- protecting children from maltreatment
- preventing impairment of children's mental and physical health or development
- ensuring that children grow up in circumstances consistent with the provision of safe and effective care
- taking action to enable all children to have the best outcomes.

And in a charity one:

...As part of fulfilling your trustee duties, you must take reasonable steps to protect from harm people who come into contact with your charity.

Safeguarding and protecting people for charities and trustees

KCSIE, para 4



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## Working together

'Everyone who works with children has a responsibility for keeping them safe. No single practitioner can have a full picture of a child's needs and circumstances and, if children and families are to receive the right help at the right time, everyone who comes into contact with them has a role to play in identifying concerns, sharing information and taking prompt action'





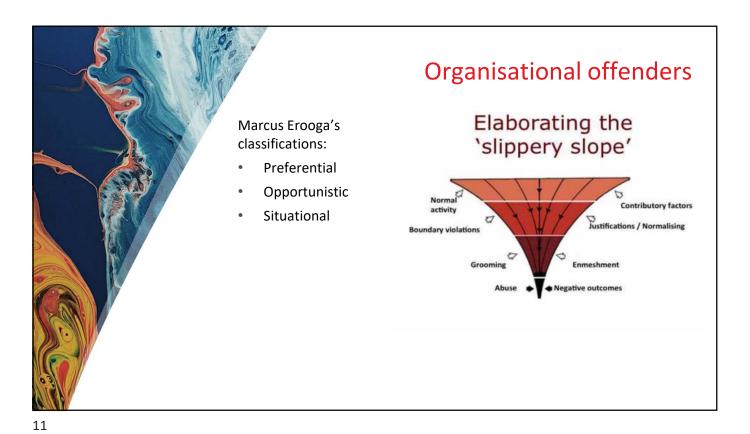




#### Lessons learned from IICSA

'Despite 20 years of enhanced focus on safeguarding, schools are not as safe for children as they should be, and children's interests do not always come first when allegations or concerns of sexual abuse arise. This must change.'





#### IICSA: leadership and governance

'A positive culture of safeguarding can deter potential 'opportunistic sex offenders' by reducing the opportunities for abuse and increasing the likelihood of detection and reporting...'

'At most of the schools examined, at the time when the sexual abuse occurred the school had a poor organisational culture in which safeguarding was not prioritised or seen as a core responsibility of all staff.'

'Openness and transparency are key to a protective environment. Schools with a strong safeguarding culture responded promptly and appropriately to allegations and concerns, including complaints about non-recent incidents.'



## The changing landscape

- Shift from protection from abuse to promotion of welfare and wellbeing
- Need for a holistic approach
- Recognition of the role we all have to play in setting culture and challenging wrongdoing – being 'active bystanders'
- More focus on stakeholder engagement
- Schools as place of safety
- Our role extends beyond the school site/day
- Need for different perspectives
- Raising concerns is a good thing (& the absence of concerns isn't necessarily reassuring)...



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#### Current focus areas

- Leadership and management of safeguarding
- · Effective safeguarding culture
- Child on child abuse
- ED
- Behaviour management
- Attendance
- On-line activity
- Commercial arrangements.

#### Leadership and management

• Governors must understand their responsibility to put the child first, before the institution



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# What is an effective safeguarding culture?

One which puts people first, where...

- You protect people
- You minimise the risks of any harm or abuse
- Everyone has confidence their concerns will be dealt with appropriately
- Everyone understands their role.







#### Summary of Ofsted review 10.6.2021

"This rapid thematic review has revealed how prevalent sexual harassment and online sexual abuse are for children and young people. It is concerning that for some children, incidents are so commonplace that they see no point in reporting them. This review did not analyse whether the issue is more or less prevalent for different groups of young people, and there may well be differences, but it found that the issue is so widespread that it needs addressing for all children and young people. It recommends that schools, colleges and multi-agency partners act as though sexual harassment and online sexual abuse are happening, even when there are no specific reports".



## Behaviour management

- New behaviour guidance
- Need for people to be clear about what is expected of them
- Recognition that misconduct can and often is a safeguarding issue too

#### **Attendance**

New attendance guidance came into force this September

- Poor attendance is linked to poor outcomes
- All schools must focus on monitoring and improving attendance



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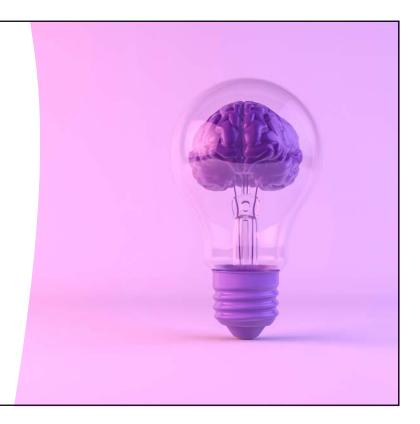


#### Commercial arrangements

- If hire/rent out facilities/premises, the school should ensure that appropriate arrangements are in place to keep children safe; and
- if services/activities are provided separately by another body, the school's policies may not apply and it should seek assurance that there are appropriate safeguarding and child protection policies and procedures in place & ensure that there are arrangements in place to liaise with the school on these matters where appropriate. This applies regardless of whether or not the children who attend are children on the school roll.

# What can you do?

- Ensure you understand your role and responsibilities
- Set expected standards
- Keep your eyes and ears open and be curious
- Support and challenge
- Challenge wrongdoing when you see it
- Keep records

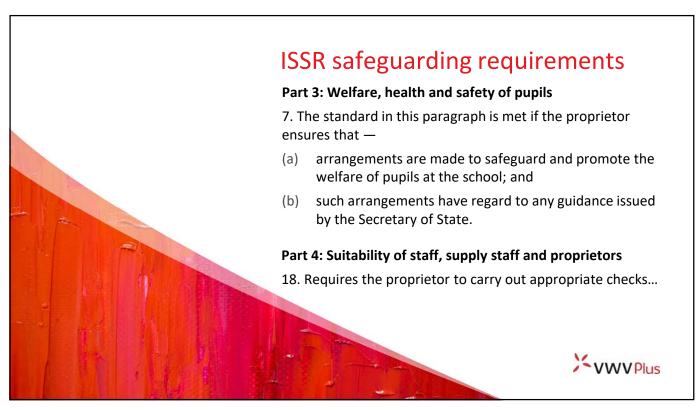


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#### Overview Type of **Principal legislation Principal regulations** Principal guidance Principal school/provision regulator All independent Keeping children safe in education 2022 Education and Skills Act The Education (Independent schools School Standards) Regulations Working together to safeguard children Education Act 2002 2022 Education (Pupil Registration) Disqualification under the Childcare Act (England) Regulations 2006 2006 Special educational needs and disability code of practice 2020 Prevent duty guidance 2021 Boarding schools Children Act 1989 National Minimum Standards for Boarding Early years Childcare Act 2006 The Early Years Foundation EYFS Statutory Framework 2021 Ofsted/DfE Stage (Learning and **Development Requirements)** Order 2007 The Early Years Foundation Stage (Welfare Requirements) Regulations 2012 > VWVPlus

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#### What does this mean?

Safeguarding and promoting the welfare of children is defined in KCSIE as:

- protecting children from maltreatment
- preventing impairment of children's mental and physical health or development
- ensuring that children grow up in circumstances consistent with the provision of safe and effective care
- taking action to enable all children to have the best outcomes.

KCSIE, para 4





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#### ISSR cont-

#### Part 8: Leadership and management

The standard about the quality of leadership and management is met if the proprietor ensures that persons with leadership and management responsibilities at the school:

- (a) demonstrate good skills and knowledge appropriate to their role so that the independent school standards are met consistently;
- (b) fulfil their responsibilities effectively so that the independent school standards are met consistently; and
- (c) actively promote the well-being of pupils.





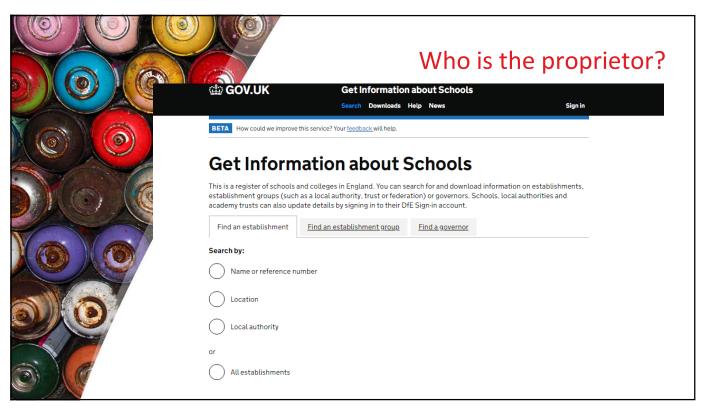
## Wellbeing

Well-being is defined with reference to the Children Act 2004, which lists the following factors:

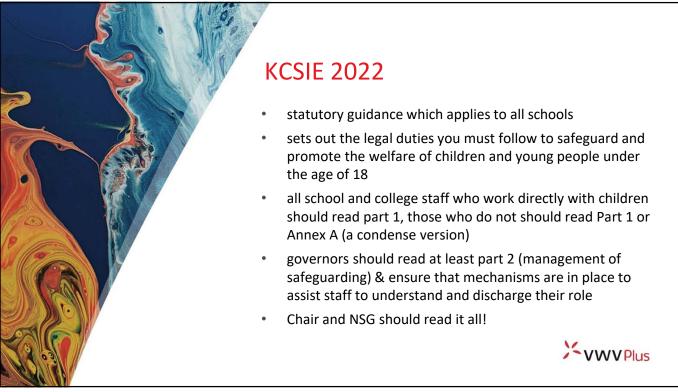
- physical and mental health and emotional well-being;
- protection from harm and neglect;
- · education, training and recreation;
- · the contribution made by them to society;
- social and economic well-being.



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## **Key principles**

- Safeguarding and promoting the welfare of children is everyone's responsibility
- The school should always act in the best interests of children
- The trustees should ensure a whole school approach to safeguarding
- Information-sharing is critically important
- All concerns should be taken seriously
- Children's wishes and feelings should be taken into account
- Systems should be well promoted, easily understood and accessible.





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# Information for all staff: it could happen here...

- Aware of school's systems and local procedures
- Receive appropriate
   training
- Know what to do if a child tells them they are being abused, exploited or neglected\*
- Reassure victims that they are being taken seriously & will be kept safe.

#### New wording:

 \*Para 19: children may not feel ready or know how to tell someone... need for 'professional curiosity'





#### What to look out for:

- disabled, health condition e.g. MH or SEND
- Young carer or has been in care/fostered
- Signs of being drawn in to anti-social or criminal behaviour
- Frequently missing or absent from education
- At risk of modern slavery, trafficking, exploitation, radicalisation, honour-based abuse
- Challenging family circumstances e.g. domestic abuse, or misusing alcohol or drugs themselves
- Signs of abuse or neglect



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#### LA duties

Early help – to identify children & families who may benefit from early help [requires parental consent]

#### **Statutory assessments**

Section 17 – to undertake a statutory assessment of children in need – those who are unlikely to achieve or maintain a reasonable level of health or development, or whose health and development is likely to be significantly or further impaired, without the provision of services; or a child who is disabled.

Section 47 – duty to lead child protection enquiries - where a local authority has reasonable cause to suspect that a child (who lives or is found in their area) is suffering or is likely to suffer significant harm, it has a duty to make such enquiries as it considers necessary to decide whether to take any action to safeguard or promote the child's welfare.

#### Part 1 cont-

- Frequently multiple, overlapping issues
- Technology is a major component in many safeguarding and wellbeing issues
- Be aware of the common safeguarding issues ref in Pt 1/Annex B incl. child on child abuse
- Listen to children
- Share concerns/allegations about staff or the school's safeguarding arrangements
- Don't assume someone else will take action
- Don't allow fears about sharing info to stand in the way
- Don't delay
- If unsure, speak to the DSL!
  - Keep good records summary of the concern; how it was followed up & resolved; note of action/decisions/outcome



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#### Part 2: Proprietor duties

Governors and proprietors should/must:

- take strategic leadership responsibility for their school's safeguarding arrangements
- have a senior board level (or equivalent) lead to take leadership responsibility for the school's safeguarding arrangements
- ensure that safeguarding policies, procedures and training are in place, effective and comply with the law
- adopt robust recruitment procedures
- appoint an appropriate member of SLT to role of DSL
- ensure that staff can contribute to and shape safeguarding arrangements
- ensure that people know how to raise concerns & are confident that appropriate action will be taken if they do so.



# Chair's responsibilities

#### **Allegations and concerns**

- · Concerns about the Head to be referred to the Chair
- · Chair should immediately discuss the allegation with LADO
- · Chair becomes 'case manager'

#### Safer recruitment checks

Where the proprietor is a body of people, the Chair must ensure that appropriate checks are undertaken for the other members of the body.





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#### Nominated safeguarding Governor

 Schools should have a senior board level (or equivalent) lead to take leadership responsibility for the organisation's safeguarding arrangements.

KCSIE, para 80

#### The Role of the School's Nominated Safeguarding Governor

- 1 Overview
- 1.1 The Governors acknowledge and understand that they have collective duties and responsibilities to safeguard and promote the welfare of children and to ensure that the School's safeguarding policies, procedures and training are effective and comply with the law at all times.
- 1.2 The Governing Body is determined to promote the well-being of children within the School's care and recognises that well-being extends beyond the protection from harm and neglect into areas such as promoting:
  - 1.2.1 physical and mental health and emotional well-being;
  - 1.2.2 social and economic well-being
  - 1.2.3 opportunities for education, training and recreation; and
  - 1.2.4 opportunities for contributing to society.
- 1.3 The Governing Body has nominated one of its members to champion best safeguarding practice in the School, supporting the Governing Body not just to discharge its duties under legislation and guidance but to move beyond regulatory compliance. The Nominated Safeguarding Governor will:
  - 1.3.1 support the Governing Body in its commitment to promote the well-being of children within the School's care; and
  - 1.3.2 take leadership of the School's safeguarding arrangements, liaising with external agencies where this is required.
- The appointment of the Nominated Safeguarding Governor on the terms set out below forms part of the Governing Body's acceptance of and response to its duties, not a delegation or abrogation of them.



#### Changes to Part 2

#### More focus on

- Preventative education
- Governor/trustee training
- clarity & application of P&P

All governors and trustees should receive appropriate training (incl. on line safety) to 'equip them with the knowledge to provide strategic challenge to test and assure themselves that the safeguarding P&Ps are effective & support the delivery of a robust whole school approach to safeguarding training.'

- Resourcing of DSL
- engagement with all stakeholders
- SG duties re contractors
- Record-keeping ... to help with management of complaints



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#### KCSIE 2022 eLearning

Make sure everyone understands their duties to help keep children safe in your school.

Short 30-minute tailored courses:

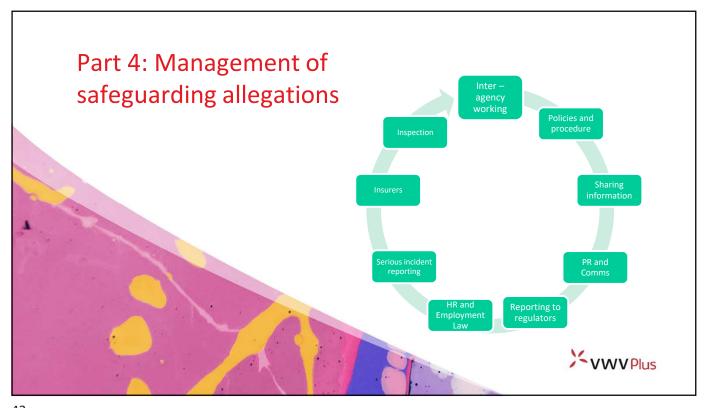
- 1. Part 1 course for staff working directly with children
- 2. Annex A course for those who don't work directly with children
- 3. Part 2 course to help your governors with the management of safeguarding

Interested?

Please let us know on your feedback forms and we will be in touch.











'Everyone who works with children has a responsibility for keeping them safe. No single practitioner can have a full picture of a child's needs and circumstances and, if children and families are to receive the right help at the right time, everyone who comes into contact with them has a role to play in identifying concerns, sharing information and taking prompt action'



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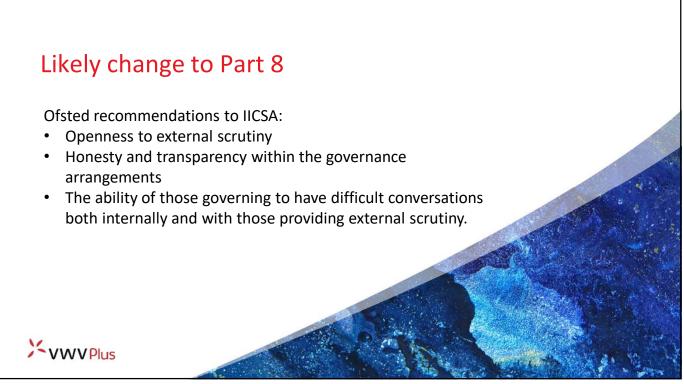
## **Inspection Process**

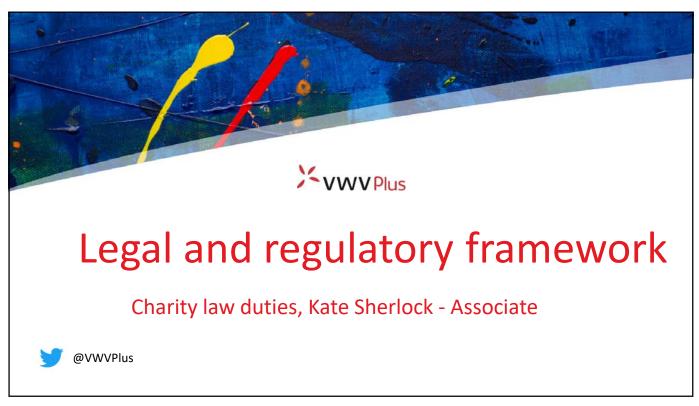
- When is your next routine inspection?
- ISI will consider:
  - Information from LA, CC and other regulators
  - Complaints
  - Pupil, parent and staff questionnaires
  - Inspection records, interviews & observations
- Likely to interview the Chair and/or NSG to examine how you ensure the effective oversight of safeguarding
- Are you ready?













## **Director/ Council Member**



- Appointed in accordance with the Articles (or other constitution, as applicable)
- Manage and administer the business and affairs of the company









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# Governor (member of the proprietor body)



"overarching responsibility for regulatory compliance"



# Department for Education





#### **Charity Trustee**



"A person who has the general management and control of the administration of the charity".

"Trustees have and must accept responsibility for directing the affairs of a charity, and ensuring that it is solvent, well run, and delivering the charitable outcomes for the benefit of the public for which it has been set up"







# Charity Commission Safeguarding Duty

"All charities must take reasonable steps to protect everyone who comes into contact with the charity from harm"

"Trustees should promote a fair, open and positive culture and ensure all involved feel able to report concerns, confident that they will be heard and responded to."



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## **Charity Commission – Expectations**

- Providing a safe and trusted environment
- Setting an organisational culture that prioritises safeguarding
- Having adequate policies, procedures and measures to protect people
- Knowing how incidents and allegations will be handled should they arise











A serious incident is "an adverse event, whether actual or alleged, which results in or risks significant harm to your charity's beneficiaries, staff, volunteers or others who come into contact with your charity through its work"



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# Actual or alleged incidents: result in or risk significant harm Breaches of procedures or policies: put people at significant risk of harm







# Directors duties, ESG and corporate responsibility

- Companies Act 2006 and regulation by the Registrar of Companies
- Statutory duties under the Companies Act 2006
- Subject to other legal requirements in relation to e.g. employment, health & safety, data protection
- Environmental, Social and Governance responsibilities (SCG):
  - Growing expectations
  - Reporting changes



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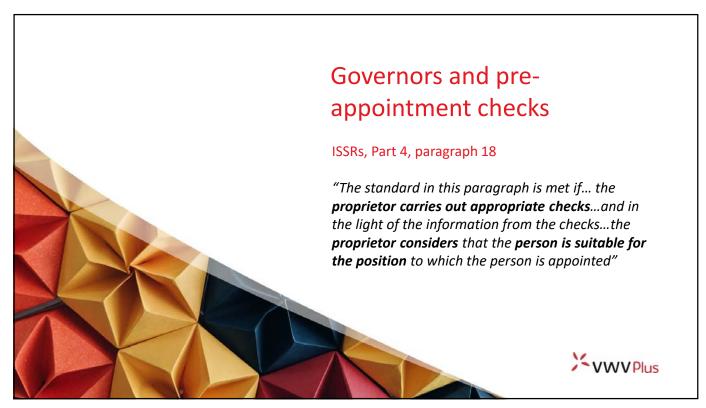
# Safeguarding and safer recruitment

#### KCSIE para 207:

"It is vital that as part of their whole school or college approach to safeguarding governing bodies and proprietors create a culture that safeguards and promotes the welfare of children in their school or college. As part of this culture, it is important that they adopt robust recruitment procedures that deter and prevent people who are unsuitable to work with children from applying for or securing employment, or volunteering opportunities in schools and colleges."



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# Governors and the Single Central Register

#### KCSIE - paragraph 250

"Schools and colleges must maintain a single central record of preappointment checks, referred to in the Regulations as "the register" and more commonly known as "the single central record"

#### ISSRs, Part 4 paragraph 21

"The standard in this paragraph is met if the **proprietor keeps a register** which shows such of the information referred to in sub-paragraphs (3) to (7) as is applicable to the school in question"



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# When do we see problems arise?

- One MOS carries out checks and maintains the SCR in isolation
- One MOS makes judgment calls on checks without proper training or oversight from SLT
- Insufficient involvement from SLT in the recruitment process
- A lack of understanding amongst staff about the importance of completing checks before work starts
- The use of 'casual' staff
- The use of contractors
- A tick-box approach to recruitment



# What can you do?

- Understand the school's recruitment process by:
  - · regular engagement with Head, Bursar and HR
  - reviewing the Safer Recruitment Policy
  - · reviewing staff induction arrangements
- Conduct a regular (at least termly) check of the SCR and files
- Explore the level of knowledge and engagement of staff in the recruitment process
- Create an audit trail
- Hold staff to account
- Ensure that safer recruitment is a regular agenda item at Governing Body meetings



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# How should the SCR be completed?

- · Separate entry for each individual
- Separate entry for each check
- What do the ISSRs 2014 say?
  - · whether each check was completed: and
  - the date on which the check was completed or certificate received
- The use of 'N/A'
- Notes
- What does ISI expect?







# Who should be included on the SCR?

#### Must

ISSRs: staff, supply staff and members of the proprietor body

#### What about:

- Staff employed by a third party
- Self-employed individuals
- Volunteers
- Leavers



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# Required checks for staff appointments

- 1. Identity
- 2. Right to work in the UK
- 3. Qualifications
- 4. Employment history
- 5. References
- 6. Medical fitness
- 7. Enhanced DBS disclosure
- 8. Children's Barred List
- 9. Overseas checks
- 10. Overseas teaching sanctions
- 11. Prohibition from teaching
- 12. Prohibition from management
- 13. Section 142 direction
- 14. Childcare disqualification









### **Contractors**

How should contractors be treated for compliance purposes?

• ISSRs Regulation 2: "Staff" means: "any person working at a school, whether under a contract of employment, under a contract for services, or otherwise than under a contract but not including supply staff or volunteers"

### If contractors are staff which checks are required?

- basic legal position all checks apply;
- but see KCSIE (paragraph 291): 'Schools and colleges should ensure that any contractor, or any employee of the contractor, who is to work at the school or college, has been subject to the appropriate level of DBS check'

### Suggested approach - divide into two groups:

- regular and long term work all checks; or
- temporary or occasional work KCSIE



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## By when must checks Staff Before "appointment" i.e. before first day of work be completed? DBS before or as soon as practicable after appointment Supply staff All checks including DBS before work starts Governors Before appointment DBS and overseas checks before or as soon as practicable after appointment Volunteers Before commencing any duties (unless supervised) What does this mean for compliance? > VWVPlus

# Which checks must be included on the SCR?

- 1. Identity
- 2. Right to work in the UK
- 3. Children's Barred List
- 4. DBS
- 5. Qualifications
- 6. Prohibition from teaching
- 7. Section 142 direction
- 8. Prohibition from management
- 9. Overseas checks

References; employment history; medical fitness; childcare disqualification; and overseas teaching sanctions – not required but is there evidence that they have been completed?



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# Keeping evidence of checks

- ISSRs require schools to keep a SCR
- ISSRs do not require schools to retain any other evidence that checks completed
- KCSIE (para 277) requires retention of evidence that following checks completed:
  - identity;
  - right to work in the UK;
  - qualifications
- Education and Skills Act 2008 section 110 gives inspectors a right to inspect and take copies of any records kept by a school that are required for the purposes of inspection
- SCR is primary source of evidence that checks carried out but what else does the school retain?



# Inspection of the SCR

### ISI Commentary (September 2022) paragraph 606

- "It is possible in the case of older appointments that evidence will not be available to support the production of a fully complete SCR"; so
- "inspectors will look to confirm that several recent appointments have been correctly completed and recorded"; and
- "that reasonable efforts have been made to complete previously omitted checks that were legally required at the time of appointment"



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# Materiality in inspection judgments

#### ISI Commentary - paragraph 22:

- 'inspectors should consider the nature and extent of any areas of weakness in compliance and the effect or potential effect on pupils'
- 'a number of small deficiencies could be indicative of poor systems and/or a
  lax approach to compliance and could be judged in context to indicate that
  one or more standards have not been met'
- Is there an 'underlying systemic issue' that should be reported?



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# Safeguarding and safer recruitment

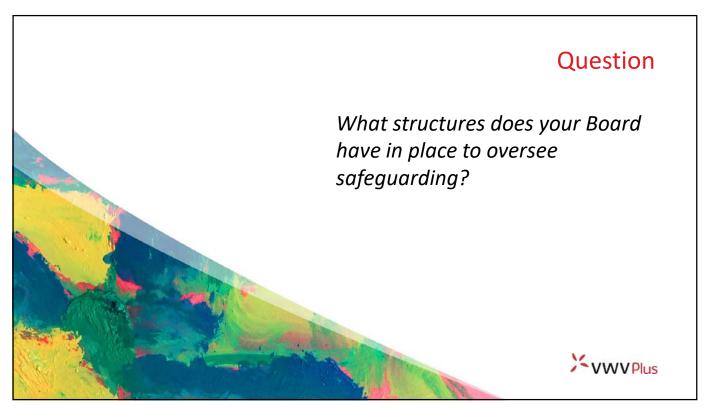
KCSIE Para 342

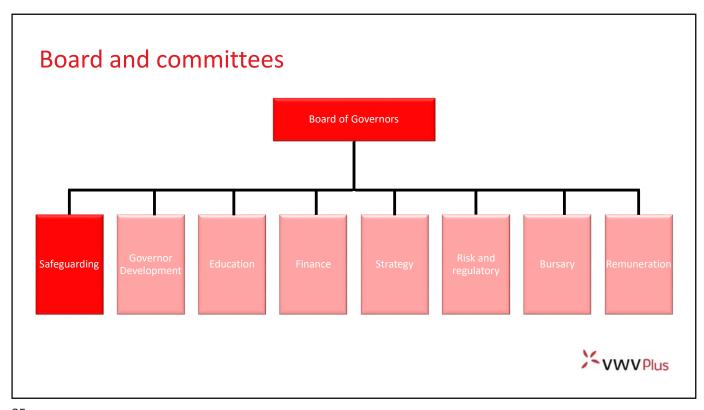
"Safer recruitment is not just about carrying out the right DBS checks. Similarly safeguarding should not be limited to recruitment procedures. Good safeguarding requires a continuing commitment from governing bodies, proprietors, and all staff to ensure the safety and welfare of children is embedded in all of the organisation's processes and procedures, and consequentially enshrined in its ethos."















# Safeguarding committee

- Do you have one?
- Who sits on it?
- How often does it meet?
- Does it deal with current and non-recent issues?
- Does it cover school and broader safeguarding responsibilities?
- Does it oversee policies and procedures and compliance with them?
- How do you share information (a) with the Board and (b the school?



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# Annual review of safeguarding

- Responsibility of the whole board
- How is it undertaken? Suggest written report which is discussed at Board level
- Should be minuted and minutes should record board engagement with it









## Questions re oversight

What structures does your school have in place to manage safeguarding?

How do you review the charity's management of safeguarding concerns?

What information do you see?



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### **IICSA**

'Governors must be provided with sufficient information about safeguarding issues which arise so that they can monitor whether policies are being implemented and whether those policies are effective.'

'Governors did not monitor the effective implementation of safeguarding arrangements through the scrutiny of safeguarding incidents which arose in the school.'

'There was no evidence of challenge or questioning'.







